

## PRIVACY NOTICE

**Who we are:** **Independence Square Holdings, LLC is a registered investment advisor.**  
150 South Warner Road Suite 300  
King of Prussia PA 19406

**For further information about this notice, please contact:**

Karen McCarthy  
610-520-1500  
kmccarthy@indsquare.com

**Policy:** Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

**Types of information we collect:** We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Social Security Number, Date of Birth, Banking Information, Financial Account Numbers and/or Balances, Sources of Income, and Credit Card Numbers or Information. When you are no longer our customer, we may continue to share your information only as described in this notice.

**Parties to whom we disclose information:** All Investment Advisers may need to share personal information to run their everyday business. In the section below, we list the reasons that we may share your personal information:

- For everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus;
- For our marketing – to offer our products and services to you;
- For joint marketing with other financial companies;
- For our affiliates' everyday business purposes - information about your transactions and experiences and information about your creditworthiness
- For non-affiliates to market to you.

*Securities offered through LPL Financial, member FINRA/SIPC. Investment advice offered through Independence Square, an SEC Registered Investment Advisor.*

### When we share information:

If you are a new customer we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

### Protecting confidentiality:

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and building.

### Federal & state law allows you to limit sharing:

Federal law allows you the right to limit the sharing of your NPI by “opting-out” of the following: sharing for non-affiliates’ everyday business purposes – information about your creditworthiness; or sharing with affiliates or non-affiliates who use your information to market to you. State laws and individual companies may give you additional rights to limit sharing. Please notify us immediately if you choose to opt out of these types of sharing.

### Definitions:

- **Affiliates** - companies related by common ownership or control. They can be financial and non-financial companies;
- **Non-affiliates** - companies not related by common ownership or control. They can be financial and non-financial companies;
- **Joint marketing** - a formal agreement between non-affiliated financial companies that together market financial products or services to you.